

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David C. Roberts
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Title: HEAT EXCHANGE
SYSTEM

Application No.: To Be Determined

Filing Date: To Be Determined

Examiner: To Be Determined

Art Unit: To Be Determined

Mail Stop PATENT APPLICATION
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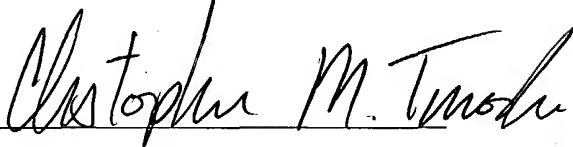
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INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97-98 and in accordance with the duty of disclosure under 37 C.F.R. §1.56, Applicant submits herewith the references listed on the attached Form PTO-1449 to the Examiner such that they may be considered and made of record in the above-identified application. A copy of each reference is enclosed.

Respectfully submitted,

Date 7-1-03

By 

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Date Submitted: July 1, 2003

(use as many sheets as necessary)

Complete if Known

Application Number	To Be Determined
Filing Date	To Be Determined
First Named Inventor	David C. Roberts
Group Art Unit	To Be Determined
Examiner Name	To Be Determined
Attorney Docket Number	025636-0105

Sheet

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of

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	6,515,251	B1	Wind	02/04/2003	
	A2	5,267,383		Sawdon	12/07/1993	
	A3	5,031,442		Kynl	07/16/1991	
	A4	4,760,634		Rapp	08/02/1988	
	A5	4,757,609		Sawdon	07/19/1988	
	A6	4,459,735		Sawdon	07/17/1984	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A7		0 215 449	B1	Rapp	05/22/1991		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	A8	MasterCool II, Installation Use and Care Parts Replacement (7 pgs.)	
	A9	MasterCool II, "The Most Efficient Evaporative Cooler You Can Buy," AdobeAir, Inc., 500 S. 15 th St., Phoenix, AZ 85034, L900790A 03/95 (2 pgs.)	
	A10	MasterCool II, Replacement Parts List, Models MC43/44B, HC43/44A, MC63/64B, MC63/64C, HC63/64A, HC63/64B (1 pg.)	
	A11	MasterCool II Evaporative Cooler 10-Year Limited Warranty, L414302A, 01/96 (1 pg.)	
	A12	AdobeAir Owner's Guide, Commercial/Industrial Evaporative Cooler, Use and Care Manual, AdobeAir, Inc., 500 S. 15 th St., Phoenix, AZ 85034, L402207A, 12/99 (12 pgs.)	
	A13	AdobeAir, "What Is Evaporative Cooling," bearing a designation of "4/22/2003," AdobeAir (4 pgs.)	

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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